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This Form Based on PTO/SB/21

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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	Application Number	10/576,350				
	Filing Date	04/18/2006				
	First Named Inventor	Masayuki YOSHIKAWA				
	Group Art Unit	1623				
	Examiner Name	PESELEV, ELLI				
	Attorney Docket Number	VX062734 PCT				

	ENCLOSURES (check all that apply)							
$\boxtimes$	Fee Transm	ittal Form		Assig	nment Papers n Application)		After Allowance Communication to Group	
	X Fee A	ttached		Drawi	ng(s)		Appeal Communication to Board of Appeals and Interferences	
	Amendment	/ Response			sing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
	After F	inal		Petitio and A	n Routing Slip (PTO/SB/69) ccompanying Petition		Proprietary Information	
	Affida	vits/declaration(s)		Provis	nvert a ional Application		Status Letter	
	Extension of Time Request  Express Abandonment Request			Power of Attorney, Revocation Change of Correspondence Address	X	Additional Enclosure(s) (please identify below):		
				Terminal Disclaimer		Form PTO-1449-PLG [listing 3 references (1 U.S. patent references and 2 foreign patent references) and 1 foreign communication]		
$\times$	Information Disclosure Statement			Small Entity Statement			Copy of 2 foreign patent references	
Certified Copy of Priority Document(s)			Request of Refund			ļ	Copy of foreign communication dated May 14, 2009	
Response to Missing Parts/ Incomplete Application			Rema	arks				
Response to Missing Parts under 37 CFR 1.52 or 1.53					•			
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
		z (Reg. No. 37,701)						
Individual name Posz Law Gro		up, P	LC					
Signature		) \		<b>\</b>				
Date <b>June 30, 2009</b>								

Approved for use through 06/30/2010. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL FOR FY 2009 Applicant Claims small entity status. See 37 CFR 1.27 Art Unit 1623 TOTAL AMOUNT OF PAYMENT (check all that apply) Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Clock Credit Card Money Order None Other (please identify): Credit any overpayments Credit Card Money Order None Order (please identify): Credit Card None None Order (please identify): Credit Card Money Order None Order (pl	S/	aperwork Reduction	ACCOL 1995, 110	persons are re	equired to resp	ond to a collection of		ss it displays a valid OMB control number.
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Nethod OF PAYMENT (check all that apply)	Applicant Cla	Applicant Claims small entity status. See 37 CFR 1.27						
Check	TOTAL AMOUNT O	PAYMENT	(\$) 180		/	Attorney Docket No. VX062734 PCT		
Deposit Account Deposit Account Number: 50-1147 Deposit Account Name: Posz Law Group, PLC  For the above-identified deposit account, the Director is hereby authorized to: (check all that appty)  For the above-identified deposit account, the Director is hereby authorized to: (check all that appty)  Charge fee(s) indicated below Charges fee(s) indicated below, except for the filing fee Charges fee(s) indicated below, except for the filing fee Under 37 CFR 1.16 and 1.17  WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card Information and authorization on PTO-2038.  FEE CALCULATION  1. BASIC FILING FEES  Small Entity  Application Type  Fee (\$) Fee	METHOD OF PAY	/MENT (check al	I that apply)					
For the above-identified depocal account, the Director is hereby authorized to: (check all that apphy)  Charge fee(s) indicated below  Charge fee(s) indicated below  Accept any additional fee(s) or underpayments of fee(s)  under 37 CFR 1.16 and 1.17  WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  WARNING: Information on PTO-2038.  FEE CALCULATION  1. BASIC FILING SEARCH, AND EXAMINATION FEES  FILING FEES  Small Entity  Small Entity  Small Entity  Small Entity  Small Entity  Application Type  Fee (3)  Peant  220  110  100  50  140  70  Plant  220  110  330  165  170  85  Reissue  330  165  540  270  650  325  Provisional  220  110  00  0  0  Small Entity  Fee (3)  F	X Check	Credit Card	Money Or	rder 🔲 1	None	Other (please ide	ntify):	
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Each claim over 20 (including Reissues)  Each independent claim over 3 (including Reissues)  Multiple dependent claims  Total Claims  Extra Claims  Fee (\$)  Fee Paid (\$)  HP = highest number of total claims paid for, if greater than 20  Indep. Claims  Extra Claims  Extra Claims  Fee (\$)  Fee Paid (\$)  Fee Pai	_	M FEES						
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Multiple dependent claims  Total Claims  Extra Claims  Fee (\$)  Pee (\$)  Fee Paid (\$)  HP = highest number of total claims paid for, if greater than 20  Indep. Claims  Extra Claims  Fee (\$)  Fee (\$)  Fee Paid (\$)  Say0  \$0  Indep. Claims  Fee (\$)  Fee Paid (\$)  Fee Paid (\$)  Fee Paid (\$)  Fee Paid (\$)  APP = D  A \$220 = \$0  HP = highest number of independent claims paid for, if greater than 3  APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37  CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35  U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).  Total Claims  Fee (\$)  Fee Paid				>				
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-3 or HP = 0 x \$220 = \$0  HP = highest number of independent claims paid for, if greater than 3  3. APPLICATION SIZE FEE  If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37  CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35  U.S.C. 41 (a)(1)(G) and 37 CFR 1.16(s).  Total Sheets	Indep. Claims	Extra Claim	s Fee	(\$)	Fee Paid (	\$)		
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Signature (Attomey/Agent) 37,701 Telephone (703) 707-9110	SUBMITTED BY							
Name (Print/Type) DAVID G. POSZ Date June 30, 2009	Signature	3					Telephone	(703) 707-9110
	Name (Print/Type)	DAVID G. PO	SZ				Date	June 30, 2009

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JUN 3 0 2009

Applicant(s): YOSHIKAWA et

Serial No.: 10/576,350

Filed: 04/18/2006

Title: NOVEL INHIBITOR OF THE

FORMATION OF ADVANCED GLYCATION END PRODUCT AND ALDOSE REDUCTASE INHIBITOR

Atty. Dkt.: VX062734 PCT

Group Art Unit: 1623

Examiner: PESELEV, ELLI

Commissioner for Patents Alexandria, VA 22314 Date: June 30, 2009

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, the reference(s) listed on the attached Form PTO-1449 is/are being brought to the attention of the Examiner without any admission that it/they constitute(s) statutory prior art, or without any admission that it/they contain(s) subject matter that anticipates the invention or renders the invention obvious to a person of ordinary skill in the art.

Further, pursuant to 37 C.F.R. §1.97(e), the undersigned hereby certifies that the listed reference(s) was/were first cited in a Communication (copy and translation enclosed) from a foreign patent office in connection with a counterpart foreign application not more than three months prior to the filing of this statement.

The Examiner is requested to initial the attached PTO Form-1449 and return a copy of same to the undersigned attorney as proof that the listed reference(s) has/have been considered and made of record.

As a Final Office Action on the merits has been mailed in the above identified application on March 15, 2007, the fee due under 37 CFR 1.17(p) is enclosed. Please charge any additional fee to Applicant's attorney's Deposit Account No. 50-1147.

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Respectfully submitted,

David G. Posz

Reg. No. 37,701

Posz Law Group, PLC 12040 South Lakes Drive, Suite 101 Reston, VA 20191 (703) 707-9110 Customer No. 23400